


Quarterly Compliance Report on Corporate Governance

Name of the Issuer : GCM CAPITAL ADVISORS LIMITED

Quarter Ending on : 30th June 2015

| Particulars | Clause of Listing Agreement | Compliance Status Yes/No | Remarks |
|--|-----------------------------|--------------------------|---|
| II. Board of Directors | 52 II | | |
| (A) Composition of Board | 52 (IIA) | Yes | N.A. |
| (B) Independent Directors | 52 (IIB) | Yes | N.A. |
| (C) Non-executive Directors' compensation & disclosures | 52 (IIC) | Yes | N.A. |
| (D) Other provisions as to Board and Committees | (52 (IID) | Yes | N.A. |
| (E) Code of Conduct | (52 (IIE) | Yes | N.A. |
| (F) Whistle Blower Policy | (52 (IIF) | Yes | N.A. |
| III. Audit Committee | 52 (III) | | |
| (A) Qualified & Independent Audit Committee | 52 (IIIA) | Yes | N. A. |
| (B) Meeting of Audit Committee | 52 (IIIB) | Yes | N. A. |
| (C) Powers of Audit Committee 49 (IIC) | 52 (IIIC) | Yes | N. A. |
| (D) Role of Audit Committee | 52 (IIID) | Yes | N. A. |
| (E) Review of Information by Audit Committee | 52 (IIIE) | Yes | N.A. |
| IV. Nomination & Remuneration Committee | 52 (IV) | Yes | N.A. |
| V. Subsidiary Companies | 52 (V) | N.A. | N. A. |
| VI. Risk Management | 52 (VI) | Yes | N. A. |
| VII. Related Parties Transactions | 52 (VII) | Yes | N. A. |
| VIII. Disclosures | 52 (VIII) | | |
| (A) Related Party Transactions | 52 (VIII A) | Yes | Complied in next Annual Report |
| (B) Disclosure of Accounting Treatment | 52 (VIII B) | Yes | Complied in next Annual Report |
| (C) Remuneration to Directors | 52 (VIII C) | Yes | Complied in next Annual Report |
| (D) Management | 52 (VIII D) | Yes | Complied in next Annual Report |
| (E) Shareholders | 52 (VIII E) | Yes | Will be Complied in next Annual General Meeting |
| (F) Proceeds from Public Issues, Rights Issues, Preferential Issues etc. | 52 (VIII F) | N.A. | N. A. |
| IX. CEO/CFO Certification | 52 (IX) | Yes | Complied in next Annual Report |
| X. Report on Corporate Governance | 52 (X) | Yes | Complied in next Annual Report |
| XI. Compliance | 52 (XI) | Yes | Complied in next Annual Report |

For GCM CAPITAL ADVISORS LIMITED


SAMIR BAID
 DIN : 00235263
 MANAGING DIRECTOR



Place : Mumbai

Date : July 10, 2015